

**INFORMATION DISCLOSURE STATEMENT BY  
APPLICANT**

Page 1 of 3

Atty. Docket No.:  
H0005443-9950  
(1161.1139101)

Serial No.:  
10/726,124



Applicant: John B. Amundson

Filing Date: December 2, 2003

Group Art: Unknown 2125

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document No.	Date	Name	
	2003/0123224	07/03/2003	LaCroix et al.	
	4,506,827	03/26/1985	Jamieson et al.	
	4,622,544	11/11/1986	Bially et al.	
	5,053,752	10/01/1991	Epstein et al.	
	5,140,310	08/18/1992	DeLuca et al.	
	5,238,184	08/24/1993	Adams	
	5,251,813	10/12/1993	Knepkamp	
	5,386,577	01/31/1995	Zenda	
	6,081,197	06/27/2000	Garrick et al.	
	6,121,875	09/19/2000	Hamm et al.	
	6,236,326	05/22/2001	Murphy	

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document No.	Date	Country	Translation Yes No

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

	Aprilaire Electronic Thermostats Models 8344, 8346, 8348, 8363, 8365, 8366 Operating Instructions, 8 pages, prior to filing date of present application.
	Aube Technologies, Electronic Thermostat for Heating System Model TH135-01, 5 pages, August 14, 2001.
SNL	Aube Technologies, TH140-28 Electronic Programmable Thermostat, Installation Instructions and User Guide, pp. 1-4, January 22, 2004.
SNL	Braeburn Model 3000 Owner's Manual, pp. 1-13, 2001.
SNL	Braeburn Model 5000 Owners Manual, pp. 1-17, 2001.
	BRK First Alert, User's Manual, Smoke and Fire Alarms, pp. 1-7, November 2002.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Atty. Docket No.: H0005443-9950 (1161.1139101)	Serial No.: 10/726,124
Page 2 of 3			

<b>LIST OF PATENTS AND PUBLICATIONS</b>		Applicant: John B. Amundson
	Filing Date: December 2, 2003	Group Art: Unknown 2125

<i>Sn 6</i>	BRK Electronics Maximum Protection Plus Ultimate Convenience Smoke Alarm, 24 pages, prior to filing date of present application.
<i>Sn 6</i>	Carrier Microelectronic Programmable Thermostat Owner's Manual, pp. 1-24, May 1994.
<i>Sn 6</i>	Carrier TSTATCCRF01 Programmable Digital Thermostat, pp. 1-21, prior to filing date of present application.
<i>Sn 6</i>	Danfoss RT51/51RF & RT52/52RF User Instructions, 2 pages, June 2004.
	<del>Firex Smoke Alarm, Ionization Models AD, ADC Photoelectric Model Pad, 4 pages, prior to filing date of present application.</del>
<i>Sn 6</i>	Gentex Corporation, HD135, 135° Fixed Temperature Heat Detector AC Powered, 120V, 60Hz With Battery Backup, Installation Instructions - Owner's Information, pp. 1-5, June 1, 1998.
<i>Sn 6</i>	Gentex Corporation, 9000 Series, Photoelectric Type Single Station/Multi-Station Smoke Alarms AC Powered With Battery Backup, Installation Instructions - Owner's Information, pp. 9-1 to 9-6, January 1, 1993.
<i>Sn 6</i>	Honeywell Brivis Deluxe Programmable Thermostat, pp. 1-20, 2002.
<i>Sn 6</i>	Honeywell Brivis T8602C Chronotherm IV Deluxe Programmable Thermostats, Installation Instructions, pp. 1-12, 2002.
<i>Sn 6</i>	Honeywell CT8602C Professional Fuel Saver Thermostat, pp. 1-6, 1995.
<i>Sn 6</i>	Honeywell Electronic Programmable Thermostat, Owner's Guide, pp. 1-20, 2003.
<i>Sn 6</i>	Honeywell Electronic Programmable Thermostats, Installation Instructions, pp. 1-8, 2003.
<i>Sn 6</i>	Honeywell T8002 Programmable Thermostat, Installation Instructions, pp. 1-8, 2002.
<i>Sn 6</i>	Honeywell T8602A,B,C,D and TS8602A,C Chronotherm III Fuel Saver Thermostats, Installation Instructions, pp. 1-12, 1995.
<i>Sn 6</i>	Honeywell T8602D Chronotherm IV Deluxe Programmable Thermostats, Installation Instructions, pp. 1-12, 2002.
<i>Sn 6</i>	Honeywell TH8000 Series Programmable Thermostats, Owner's Guide, pp. 1-44, 2004.
	<del>Honeywell, MagicStat® CT3200 Programmable Thermostat, Installation and Programming Instructions, pp. 1-24, 2001.</del>
	<del>Invensys Deluxe Programmable Thermostats 9700, 9701, 9715, 9720, User's Manual, 21 pages, prior to filing date of present application.</del>
	<del>Lux TX9000 Installation, 3 pages, prior to filing date of present application.</del>
<i>Sn 6</i>	Ritetemp Operation 8029, 3 pages, June 19, 2002. <i>pages 2,4,6.</i>
<i>Sn 6</i>	Ritetemp Operation 8050, 5 pages, June 26, 2002. <i>pages 2,4,6,8.</i>
<i>Sn 6</i>	Ritetemp Operation 8085, pp. 1-6, prior to filing date of present application.
<i>Sn 6</i>	Sealed Unit Parts Co., Inc., Supco & CTC Thermostats...loaded with features, designed for value!, 6 pages, prior to filing date of present application.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Page 3 of 3		Atty. Docket No.: H0005443-9950 (1161.1139101)	Serial No.: 10/726,124
<b>LIST OF PATENTS AND PUBLICATIONS</b>		Applicant: John B. Amundson	
		Filing Date: December 2, 2003	Group Art: Unknown 2125

S-6	Totaline Model P474-1035 Owner's Manual Programmable 5-2 Day Digital Thermostat, pp. 1-21, prior to filing date of present application.
S-6	Totaline Star CPE230RF, Commercial Programmable Thermostat Wireless Transmitter, Owner's Manual, pp. 1-16, October 1998.
S-6	Totaline Star P/N P474-0130 Non-Programmable Digital Thermostat Owner's Manual, pp. 1-22, prior to filing date of present application.
S-6	White-Rodgers 1F80-224 Programmable Electronic Digital Thermostat, Installation and Operation Instructions, 8 pages, prior to filing date of present application.
S-6	White-Rodgers Installation Instructions for Heating & Air Conditioning IF78 Non-Programmable Thermostat, 6 pages, prior to filing date of present application.
←	White-Rodgers, Comfort Set 90-Series Premium, 4 pages, prior to filing date of present application.

EXAMINER:	<i>Harold</i>	DATE CONSIDERED:	<i>6/9/05</i>
-----------	---------------	------------------	---------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Atty. Docket No.:  
H0005443-9950  
(1161.1139101)Serial No.:  
10/726,174

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant: John B. Amundson et al.

Filing Date:  
December 2, 2003Group Art:  
unknown 2125

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name
	2002/0005435	01/2002	Cottrell
	2002/0005435	01/2002	Cottrell
	2003/0034897	02/2003	Shamoon et al.
	2003/0034898	02/2003	Shamoon et al.
	2003/0121652	07/2003	Carey et al.
	2003/0142121	07/2003	Rosen
	4,079,366	03/1978	Wong
	4,174,807	11/1979	Smith et al.
	4,206,872	06/1980	Levine
	4,264,034	04/1981	Hyltin et al.
	4,298,946	11/1981	Hartsell et al.
	4,308,991	01/1982	Peinetti et al.
	4,337,822	07/1982	Hyltin et al.
	4,382,544	05/1983	Stewart
	4,386,649	06/1983	Hines et al.
	4,388,692	07/1983	Jones et al.
	4,431,134	02/1984	Hendricks et al.
	4,442,972	04/1984	Sahay et al.
	4,446,913	05/1984	Krocker
	4,479,604	10/1984	Didner
	4,606,401	08/1986	Levine et al.
	4,621,336	11/1986	Brown
	4,717,333	01/1988	Carignan
	4,725,001	02/1988	Carney et al.
	4,837,731	07/1989	Levine et al.
	4,881,686	11/1989	Mehta
	4,918,439	04/1990	Wozniak et al.
	4,948,040	08/1990	Kobayashi et al.
	4,992,779	02/1991	Sugino et al.

## FORM PTO-1449

Page 2 of 5

Atty. Docket No.:  
H0005443-9950  
(1161.1139101)Serial No.:  
10/726,174LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant: John B. Amundson et al.

Filing Date:  
December 2, 2003Group Art:  
unknown 2125

4,997,029	03/1991	Otsuka et al.
5,012,973	05/1991	Dick et al.
5,038,851	08/1991	Metha
5,065,813	11/1991	Berkeley et al.
5,088,645	02/1992	Bell
5,161,606	11/1992	Berkeley et al.
5,181,653	01/1993	Foster et al.
5,230,482	07/1993	Ratz et al.
5,259,445	11/1993	Pratt et al.
5,329,991	07/1994	Metha et al.
5,348,078	09/1994	Dushane et al.
5,482,209	01/1996	Cochran et al.
5,526,422	06/1996	Keen
5,537,106	07/1996	Mitcuhashi
5,566,879	10/1996	Longtin
5,570,837	11/1996	Brown et al.
5,673,850	10/1997	Uptegraph
5,782,296	07/1998	Metha
5,873,519	02/1999	Beilfuss
5,901,183	05/1999	D' Souza
5,902,183	05/1999	D' Souza
5,937,942	08/1999	Bias et al.
6,032,867	03/2000	Dushane et al.
6,196,467	03/2001	Dushane et al.
6,208,331	03/2001	Singh et al.
6,315,211	11/2001	Sartain et al.
6,318,639	11/2001	Toth
6,351,693	02/2002	Monie et al.
6,502,758	01/2003	Cottrell
6,518,957	02/2003	Lehtinen et al.
6,581,846	06/2003	Rosen
6,595,430	07/2003	Shah

all cited since

<b>FORM PTO-1449</b>		Atty. Docket No.:	Serial No.:
Page 3 of 5		H0005443-9950 (1161.1139101)	10/726,174
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>		Applicant: John B. Amundson et al.	
		Filing Date:	Group Art:
		December 2, 2003	unknown 2125

#### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation Yes No
sr6	WO 01/93779	12/2001	PCT	

#### OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

sr6	Carrier, "Programmable Dual Fuel Thermostat," Installation, Start-Up & Operating Instructions, pp.1-12, October 1998.
sr6	Carrier, "Programmable Thermostats," Installation, Start-Up & Operating Instructions, pp.1-16, September 1998
sr6	Carrier, "Standard Programmable Thermostat," Homeowner's Manual, pp.1-8 pages, 1998.
sr6	Carrier, "Thermostat Control," Installation, Start-Up, and Operating Instructions," pp. 1-12, August 1999.
sr6	CorAccess, "Companion 6," User Guide, pp.1-20, June 17, 2002.
	<u>http://www.thermostatsales.com</u> , Robertshaw, "9610.Digital.Programmable.Thermostat," 3 pages, printed June 17, 2004.
	<u>http://www.thermostatsales.com</u> , Robertshaw, "9700 Deluxe Programmable Thermostat" 3 pages, printed June 17, 2004.
	<u>http://www.thermostatsales.com</u> , Robertshaw, "9710 Deluxe Programmable Thermostat," 3 pages, printed June 17, 2004.
	<u>http://www.thermostatsales.com</u> , Robertshaw, "9720 Deluxe Programmable Thermostat," 3 pages, printed June 17, 2004.
sr6	Hunter, "44200/44250," Owner's Manual, 32 pages, printed prior to filing date.
sr6	Hunter, "44300/44350," Owner's Manual, 35 pages, printed prior to filing date.
sr6	Hunter, "Auto Saver 550", Owner's Manual Model 44550, 44 pages, printed prior to filing date.
	<u>Invensys™</u> , "9700i 9701i 9715i 9720i Deluxe Programmable Thermostats," User's Manual, pp.1-28, printed prior to filing date.
	<u>Lux</u> , "511 Series Smart Temp Electronic Thermostat," Owner's Manual, 3 pages, printed prior to filing date.
	<u>Lux</u> , "600 Series Smart Temp Electronic Thermostat," Owner's Manual, 3 pages, printed prior to filing date.
	<u>Lux</u> , "602 Series Multi-Stage Programmable Thermostat," Owner's Manual, 2 pages, printed prior to filing date.
	<u>Lux</u> , "605/2110 Series Programmable Heat Pump Thermostat," Owner's Manual, 3 pages, printed

Page 4 of 5	FORM PTO-1449	Atty. Docket No.: H0005443-9950 (1161.1139101)	Serial No.: 10/726,174
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: John B. Amundson et al.	
		Filing Date: December 2, 2003	Group Art: unknown 2125

	prior to filing date.
	<del>Lux, "700/9000 Series Smart Temp Electronic Thermostat," Owner's Manual, 3 pages, printed prior to filing date.</del>
	<del>Lux, "PSPI521 Series Programmable Heat Pump Thermostat," Owner's Manual, 3 pages, printed prior to filing date.</del>
	<del>Lux, "TX1500 Series Smart Temp Electronic Thermostat," Owner's Manual, 6 pages, printed prior to filing date.</del>
	<del>Lux, "TX500 Series Smart Temp Electronic Thermostat," Owner's Manual, 3 pages, printed prior to filing date.</del>
	<del>Lux, "ELV1 Programmable Line Voltage Thermostat," Owner's Manual, 3 pages, printed prior to filing date.</del>
5n6	METASYS, "HVAC PRO for Windows User's Manual," 308 pages, 1998.
5P15	<a href="http://www.ritetemp.info/rtMenu_13.html">http://www.ritetemp.info/rtMenu_13.html</a> , Rite Temp 8082, 8 pages, printed June 20, 2003.
5n0	Totaline, "1 For All programmable Digital Thermostat," Owner's Manual P/N P374-1100FM, 23 pages, November 1998
5n1	Totaline, "1 For All Programmable Digital Thermostat," Owner's Manual P/N P474-1050, 21 pages, November 1998.
5n6	Totaline, "1 For All Programmable Digital Thermostat," Owner's Manual P/N P374-1100, 24 pages, April 2001.
5n6	Totaline, "Intellistat Combination Temperature and Humidity Control," Owner's Manual P/N P374-1600, 25 pages, June 2001.
5n6	Totaline, "Programmable Thermostat Configurable for Advanced Heat Pump or Dual Fuel Operation," Owner's Manual P/N P374-1500, 24 pages, June 1999.
5n6	Totaline, "Instructions P/N P474-1010", Manual, 2 pages, December 1998.
5n6	Totaline, "Programmable Thermostat", Homeowner's Guide, 27 pages, December 1998.
5n6	Totaline, "Wireless Programmable Digital Thermostat," Owner's Manual 474-1100RF, 21 pages, 2000.
5n6	Warmly Yours, "Model TH111GFCI-P (120 VAC)," Manual, pp. 1-4, printed prior to filing date.
5n6	White-Rodgers, "Installation Instructions for Heating & Air Conditioning IF72 5/2 Day Programmable Heat Pump Thermostat," 8 pages, printed prior to filing date.
5n6	White-Rodgers, "Installation Instructions for Heating & Air Conditioning IF78 5/2 Day Programmable Thermostat," 7 pages, printed prior to filing date.
5n6	White-Rodgers, "Comfort-Set 90 Series Thermostat," Manual, pp.1-24, printed prior to filing date.
5n6	White-Rodgers, "Comfort-Set III Thermostat," Manual, pp.1-44, printed prior to filing date.
5n6	White-Rodgers, 1F80-240 "(for HEATING ONLY systems) Programmable Electronic Digital

Page 5 of 5	FORM PTO-1449	Atty. Docket No.:	Serial No.:
		H0005443-9950 (1161.1139101)	10/726,174
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: John B. Amundson et al.	
		Filing Date:	Group Art:
		December 2, 2003	unknown 2425

<i>Sn 4</i>	Thermostat," Installation and Operation Instructions, 8 pages, printed prior to filing date.
<i>Sn 0</i>	White-Rodgers, 1F80-241 "Programmable Electronic Digital Thermostat," Installation and Operation Instructions, 6 pages, printed prior to filing date.
<i>Sn 6</i>	White-Rodgers, 1F80-261 "Programmable Electronic Digital Thermostat," Installation and Operation Instructions, 8 pages, printed prior to filing date.
<i>Sn 6</i>	White-Rodgers, 1F81-261 "Programmable Electronic Digital Multi-Stage Thermostat," Installation and Operation Instructions, 8 pages, printed prior to filing date.
<i>Sn 6</i>	White-Rodgers, 1F82-261 "Programmable Electronic Digital Heat Pump Thermostat," Installation and Operation Instructions, 8 pages, printed prior to filing date.
	<del>www.icmcontrols.com, Simplecomfort, SC3000 Single Stage Heat/Single Stage Cool or Single Stage Heat Pump/Manual Changeover, 1 page, printed prior to filing date.</del>
	<del>www.icmcontrols.com, Simplecomfort, SC3001 Single Stage Heat/Single Stage Cool or Single Stage Heat Pump/Manual Changeover, 1 page, printed prior to filing date.</del>
	<del>www.icmcontrols.com, Simplecomfort, SC3006 Single Stage Heat/Single Stage Cool or Single Stage Heat Pump/Manual Changeover, 1 page, printed prior to filing date.</del>
	<del>www.icmcontrols.com, Simplecomfort, SC3201 2 Stage Heat Pump Manual Changeover, 1 page, printed prior to filing date.</del>
	<del>www.icmcontrols.com, Simplecomfort, SC3801 2 Stage Heat/2 Stage Cool/2 Stage Heat Pump/Audio Changeover, 1 page, printed prior to filing date.</del>

EXAMINER:	<i>Darla</i>	DATE CONSIDERED:	<i>6/8/05</i>
-----------	--------------	------------------	---------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.